



1.F.W

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June 18, 2004

**CERTIFICATE OF MAILING**  
37 C.F.R. 1.8

I certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

June 18, 2004

Date

*Michael C. Barrett*  
Michael C. Barrett

**MS AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

RE: *U.S. Patent Application No. 10/781,057 entitled "DIELECTRIC PARTICLE FOCUSING" – Peter R.C. Gascoyne and Jody V. Vykoukal*  
*Our reference: UTXC:789US*  
*Client reference: MDA03-046*

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references A1-A12.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/UTXC:789US.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

*Michael C. Barrett*

Michael C. Barrett  
Reg. No. 44,523

MCB/kmv  
Encl.: as noted



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Peter R. C. Gascoyne  
Jody V. Vykoukal

Serial No.: 10/781,057

Filed: February 18, 2004

For: DIELECTRIC PARTICLE FOCUSING

Group Art Unit: 1753

Examiner: Unknown

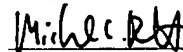
Atty. Dkt. No.: UTXC:789US

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June 18, 2004

Date

  
Michael C. Barrett

INFORMATION DISCLOSURE STATEMENT

MS AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

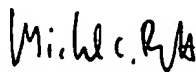
In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/UTXC:789US.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Michael C. Barrett  
Reg. No. 44,523  
Attorney for Applicants

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Date: June 18, 2004

Form PTO-1449 (modified)

Atty. Docket No.  
UTXC:789USSerial No.  
10/781,057

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant  
Peter R.C. Gascoyne  
Jody V. VykoukalFiling Date:  
February 18, 2004Group:  
1753U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1Other Art  
See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
AW	A1	5,007,732	4/16/91	Ohki <i>et al.</i>	356	73	4/18/88
	A2	5,159,403	10/27/92	Kosaka	356	243	3/19/91
	A3	5,506,673	4/09/96	Kosaka and Takarada	356	72	11/01/94
	A4	5,726,364	3/10/98	Van den Engh	73	864.85	2/10/97
	A5	5,858,192	1/12/99	Becker <i>et al.</i>	204	547	10/18/96
	A6	5,888,370	3/30/99	Becker <i>et al.</i>	204	643	2/23/96
	A7	5,895,764	4/20/99	Sklar and Seamer	436	63	11/24/97
	A8	5,993,630	11/30/99	Becker <i>et al.</i>	204	547	1/31/96
	A9	5,993,632	11/30/99	Becker <i>et al.</i>	204	547	2/01/99
	A10	6,263,745	7/24/01	Buchanan <i>et al.</i>	73	865.5	12/03/99
V	A11	6,287,832	9/11/01	Becker <i>et al.</i>	435	173.9	9/14/99
AW	A12	6,294,063	9/25/01	Becker <i>et al.</i>	204	450	2/12/99

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation

25402795.1

EXAMINER: /Allison Watts/

DATE CONSIDERED: 02/09/2007

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)